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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/539,641	06/16/2005	Charles C Hart	2836-USP-PCT-US	6610
21378 APPLIED MEI	7590 02/04/2008 DICAL RESOURCES COI	EXAM	EXAMINER	
22872 Avenida Empresa			RAMANA, ANURADHA	
Rancho Santa I	Margarita, CA 92688		ART UNIT	PAPER NUMBER
			3733	
	•		MAIL DATE	DELIVERY MODE
•			02/04/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)	- CT
1		10/539,641	HART, CHARLES C	01
Office Action Summary		Examiner	Art Unit	
		Anu Ramana	3733	
	The MAILING DATE of this communication app			
Period fo	or Reply			
WHIC - Exte after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DYNAMING OF THE MAY BE AVAILABLE OF THE MAILING DYNAMING OF THE MAY BE AVAILABLE OF THE MAILING DYNAMING OF THE MAILING DYNAMING OF THE MAILING OF	ATE OF THIS COMMUN 36(a). In no event, however, may a will apply and will expire SIX (6) MO, cause the application to become A	ICATION.  Treply be timely filed  NTHS from the mailing date of this communical  ABANDONED (35 U.S.C. § 133).	
Status				
1)⊠	Responsive to communication(s) filed on 21 N	<u>ovember 2007</u> .		
2a)⊠	This action is <b>FINAL</b> . 2b) This	action is non-final.		
. 3)	Since this application is in condition for allowar	nce except for formal ma	tters, prosecution as to the merits	s is
	closed in accordance with the practice under E	x parte Quayle, 1935 C.	D. 11, 453 O.G. 213.	
Dispositi	ion of Claims			
4)[🖂	Claim(s) 1-6 and 8-34 is/are pending in the app	olication.		
•	4a) Of the above claim(s) is/are withdraw			
5)	Claim(s) is/are allowed.	•		
6)⊠	Claim(s) 1-6 and 8-34 is/are rejected.			
· <u> </u>	Claim(s) is/are objected to.			
8)□	Claim(s) are subject to restriction and/o	r election requirement.		
Applicati	ion Papers			
9)	The specification is objected to by the Examine	Г.		
	The drawing(s) filed on 16 June 2005 is/are: a		ected to by the Examiner.	
	Applicant may not request that any objection to the	drawing(s) be held in abeya	ance. See 37 CFR 1.85(a).	
	Replacement drawing sheet(s) including the correct	ion is required if the drawin	g(s) is objected to. See 37 CFR 1.12	!1(d).
11)	The oath or declaration is objected to by the Ex	caminer. Note the attache	ed Office Action or form PTO-152	2.
Priority (	ınder 35 U.S.C. § 119			
	Acknowledgment is made of a claim for foreign	priority under 35 U.S.C.	§ 119(a)-(d) or (f).	
a)	☐ All b)☐ Some * c)☐ None of:			
	1. Certified copies of the priority document		Annlination No	
	<ul><li>2. Certified copies of the priority document</li><li>3. Copies of the certified copies of the priority</li></ul>		· ·	
	application from the International Bureau	_ <del>-</del>	ir received in this realional olage	
* 5	See the attached detailed Office action for a list	•	t received.	
	1	·		
Attachmen				
	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948)		Summary (PTO-413) o(s)/Mail Date	
3) 🔲 Infor	mation Disclosure Statement(s) (PTO/SB/08) er No(s)/Mail Date		Informal Patent Application	

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#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-6 and 8-35 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claims 1 and 28, it is unclear what Applicant means by a "neutral state." Is this a state when the ring has been deployed, i.e., twisted or undeployed, i.e., untwisted.

Appropriate correction is required.

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-6, 8-9, 11 and 35 are rejected under 35 U.S.C. 102(b) as being anticipated by Boschetti (US 4,497,317).

Boschetti discloses a device including a malleable ring member 4 and a membrane or bag 2 fixedly attached to a perimeter of the ring member wherein the bag

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is made of membrane of cotton, a bias woven fabric (Figs. 1, 3 and 4, col. 2, lines 65-68, col. 3 and col. 4, lines 1-63).

It is noted that a claim containing a "recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus" if the prior art apparatus teaches all the structural limitations of the claim. Ex parte Masham, 2 USPQ2d 1647 (Bd. Pat. App. & Inter. 1987).

Claims 1-6, 8, 19-20 and 26-29 are rejected under 35 U.S.C. 102(b) as being anticipated by Kindberg et al. (US 5,143,082), as evidenced by Ellman (US 4,428,375).

Kindberg et al. disclose a surgical device to temporarily reposition body tissues and organs including a ring member having cords 20 and a membrane 15 made of a flexible material that could be a mesh structure (Figs. 1-3, col. 2, lines 56-68, col. 3 and col. 4, lines 1-56).

Kindberg et al. refer to Ellman for examples of pliable surgical materials having a mesh structure. Ellman discloses a mesh construction with woven or knitted strands (see col. 2, lines 18-68 of Ellman).

The method steps of claim 26-29 are inherently performed when the Kindberg et al. device is used to reposition organs during a surgical procedure.

Claims 1, 3, 4-6, 8-13, 26-27 and 29-31 are rejected under 35 U.S.C. 102(e) as being anticipated by Rambo (US 6,450,983).

Rambo discloses a retraction device with a malleable ring member 10 and a "substantially taut" flexible sleeve or membrane 8 attached to a perimeter of the ring wherein the membrane is made from an elastic material (Figs. 1, 6-9, 16, 18, 40-41, 63 and 66, col. 6, lines 3-67, cols. 7-8 and col. 9, lines1-33).

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Regarding the limitation, "positioned across the ring member," it is the Examiner's position that membrane 8 of Rambo covers the ring member and is thus positioned across the ring member, in that it extends from an inside surface of the ring member to the opposite, outside surface of the ring member.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 2, 28 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rambo (US 6,450,983) in view of Berman (US 5,899,942).

Rambo discloses all elements of the claimed invention except for a membrane made of bias woven or knitted fabric.

Berman teaches a medically acceptable barrier or membrane material made of a knit or woven polyester fabric (col. 3, lines 52-67 and col. 4, lines 1-12).

Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have constructed membrane 8 of Rambo of a knit or woven polyester fabric, as taught by Berman, since it was well known in the art to use a woven polyester fabric as a medically acceptable material that is impermeable to tissue and debris.

Claims 14-25 and 32-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rambo (US 6,450,983) in view of Balsells (US 5,161,806).

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The combination of Rambo and Berman discloses all elements of the claimed invention except for a reinforcement member in the ring to adjust the flexibility of the ring.

It is well known to modify the flexibility of a hollow ring by reinforcing the ring using a metallic component (14) embedded in a plastic component as demonstrated by Balsells (col. 2, lines 1-12).

Accordingly it would have been obvious to one of ordinary skill in the art at the time the invention was made to have provided a selectively malleable ring in the device of Rambo by providing a hollow ring with reinforcement, as demonstrated by Balsells, since it was well known in the art to provide reinforcement in a hollow ring to change its malleability.

The method steps of claims 32-34 are rendered obvious by the above discussion.

## Response to Arguments

Applicant's arguments submitted under "REMARKS" in the response filed on November 21, 2007 have been fully considered.

Applicant's arguments with respect to the rejections of claims 1-6, 8, 9 and 11 under 35 USC 102(b) as being anticipated by Boschetti are not persuasive for the following reason.

The Examiner notes that the limitation, "substantially taut" only requires some portions of the membrane to be taut and does not imply that there can be no slack. The portions of the membrane stretched over ring member 4 would be taut.

Applicant's arguments with respect to the rejections of claims 1-6, 8, 19, 20 and 26-29 under 35 USC 102(b) as being anticipated by Kindberg et al. as evidenced by Ellman are not persuasive for the following reason.

The Examiner notes that the limitation, "substantially taut" only requires some portions of the membrane to be taut and does not imply that there can be no slack. It is

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the Examiner's position that even in an undeployed or "neutral state" membrane 15 of Kindberg et al. would be substantially taut due to gravity acting on the membrane. Further, membrane 15 covers the ring member and meets the limitation "across the ring member" since this limitation requires the membrane to go from one side to another side of the ring member.

Applicant's arguments with respect to the rejections of claims under 35 USC 103(a) over Rambo in view of Berman are moot in view of the new grounds of rejection presented in this action.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anu Ramana whose telephone number is (571) 272-4718. The examiner can normally be reached Monday through Friday between 8:00 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo Robert can be reached at (571) 272-4719. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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AR February 1, 2008

> ANURADHA RAMANA ANURADHA RAMINER PRIMARY EXAMINER PRIMARY EXAMINER 3700